**Appendix 3**

**Independent Evaluator’s**

**Questionnaire**

The experience and qualifications of individuals being proposed for this RFP may be conveyed by responding to this questionnaire. Responses should be based upon the sum qualifications and experience of the proposed project team members currently on staff. Please provide resumes for proposed critical team personnel with the lead team member clearly identified. Insert responses directly in the box below. Boxes may be expanded as necessary. As a follow up, SDG&E, the PRG or the ED may request additional information based on these responses.

1. **Summarize your professional background directly related to utility resource planning, portfolio optimization, and project management.**

|  |
| --- |
| <Insert response here.> |

1. **Summarize your professional working experience directly related to the California IS0 energy, ancillary services, and ex-post markets.**

|  |
| --- |
| <Insert response here.> |

1. **Address the following items directly related to your professional expertise and experience concerning the evaluation of Power Purchase Agreements (PPA's) and proposed new electrical generation facilities. Please specifically note any experience that you may have directly related to renewable energy projects and generation asset development.**
	1. **Experience and level of responsibility in negotiating and evaluating energy contracts.**

|  |
| --- |
| <Insert response here.> |

* 1. **Expertise directly related to the analysis and structuring of data and complex issues associated with new generation facilities and with the associated regulatory and environmental requirements.**

|  |
| --- |
| <Insert response here.> |

* 1. **Expertise directly related to the identification and assessment of various cost components in terms of reasonableness, completeness, variability and risk in bids for PPA's, Investor-Owned Utilities (IOU) build and new turnkey generation facilities.**

|  |
| --- |
| <Insert response here.> |

* 1. **Expertise in evaluating PPA's, turn-key plants and IOU build plants with different characteristics and constraints on a side-by-side basis.**

|  |
| --- |
| <Insert response here.> |

* 1. **Experience in assessing the valuation of non-price components in new generation facilities, such as contractual legal and credit risk, site location, development risks, reliability, transmission access, etc.**

|  |
| --- |
| <Insert response here.> |

* 1. **Expertise with various models and sophisticated quantitative methodologies used to evaluate power products, including energy, demand response, capacity and ancillary services.**

|  |
| --- |
| <Insert response here.> |

* 1. **Experience in the performance of comprehensive credit and risk analysis.**

|  |
| --- |
| <Insert response here.> |

* 1. **Expertise directly related to the analysis and evaluation of Combined Heat and Power offers.**

|  |
| --- |
| <Insert response here.> |

**i. Expertise directly related to the analysis and evaluation of Energy Storage offers.**

|  |
| --- |
| <Insert response here.> |

1. **Describe your qualifications and experience directly related to the task of reviewing and auditing the documentation, design, and administration of a solicitation process or similar vehicle, and providing recommendations to the IOU and Procurement Review Group that were adopted**

|  |
| --- |
| <Insert response here.> |

1. **Describe your qualifications and experience directly related to the task of reviewing solicitation documentation for the purpose of ensuring clarity of definition for price and non-price factors and products sought, to ensure that all bidders effectively responded to the solicitation.**

|  |
| --- |
| <Insert response here.> |

1. **Describe your professional experience in interacting with the PRG, CPUC, & Federal Energy Regulatory Commission (FERC) and the context of your interactions.**

|  |
| --- |
| <Insert response here.> |

1. **Describe your experience testifying in the capacity of an expert witness before state and federal regulatory agencies. Please cite document references to applicable proceedings.**

|  |
| --- |
| <Insert response here.> |

1. **Identify each key member of the project team that may support you during the assignment period with the lead team member clearly identified, and summarize their expected role in the process.**

|  |
| --- |
| <Insert response here.> |

1. **Describe your level of experience preparing and orally delivering significant presentations to diverse audiences.**

|  |
| --- |
| <Insert response here.> |

1. **Provide two references with contact information concerning work assignments you have performed that demonstrate application of the range of skills, experience, and qualifications required for the Independent Evaluator assignment.**

|  |
| --- |
| <Insert response here.> |

1. **What particular topics would you anticipate to be included in the terms and conditions of a) PPA and b) turn-key contract for a power plant?**

|  |
| --- |
| <Insert response here.> |

1. **In your opinion, what contract terms and conditions are toughest to evaluate, and why? Cite examples from your experience, as appropriate (use generic descriptions to avoid disclosing confidential information).**

|  |
| --- |
| <Insert response here.> |

1. **In your opinion, which cost components are difficult to evaluate while making cross-comparison between PPAs and turn-key contracts for power plants, and why?**

|  |
| --- |
| <Insert response here.> |

1. **Capital expenses associated with new power plant construction are anticipated to be a significant element in determining the cost for both PPA Offers and utility turn-key Offers. What capability and experience does your proposed project team have in determining whether such capital expenses are reasonable as presented in the Offers received by SDG&E?**

|  |
| --- |
| <Insert response here.> |

1. **Operating characteristics of power plants are anticipated to be a significant element of each Offer. a) What capability and experience does your proposed project team have with how operating characteristics are specified in contracts of the type SDG&E may execute? b) What capability and experience does your proposed project team have with how operating characteristics specified in an Offer and/or contract may be represented in models used to assess the value of the Offer and/or contract?**

|  |
| --- |
| <Insert response here.> |

1. **Describe the ability and experience of your proposed project team in verifying transmission characteristics that may affect the suitability of certain bids.**

|  |
| --- |
| <Insert response here.> |

1. **Describe the ability and experience of your proposed team to evaluate different types of renewable resources.**

|  |
| --- |
| <Insert response here.> |

1. **In your experience, what evaluation criteria and methodologies have been used in assessing and selecting among Offers in long-term Requests for Offer (RFO) and Request for Proposal (RFP)?**

|  |
| --- |
| <Insert response here.> |

1. **SDG&E has proposed to use Least Cost Valuation and Portfolio Best Fit as two evaluation criteria in their RFO's. (Least Cost Valuation means how a bid's total costs compare to the other bid's total cost. Portfolio Fit means how well a bid's features match SDG&E's portfolio needs.) How would you independently verify the analysis performed by SDG&E?**

|  |
| --- |
| <Insert response here.> |

1. **How would you determine whether or not the RFO process is transparent and fair?**

|  |
| --- |
| <Insert response here.> |

1. **Conflict of Interest: [NOTE TO RESPONDENTS: The existence of a conflict of interest in response to the questions listed under Section 21(a) and (b) and Section 22 shall not necessarily disqualify a Respondent. Furthermore, the threshold amounts contained in question (a)(iii)(1) and (2) below shall be considered triggers for additional inquiry as to conflict of interest and shall not be considered thresholds for disqualification.]**

**a) Do you have an existing contractual relationship with, or financial interest in, a market participant (including SDG&E and any other Sempra affiliate, but excluding Independent Evaluator work performed for any other California utilities; please identify any contracts with California utilities ) in the California energy markets? ii) Does any member of your proposed project team have an existing contractual relationship with, or financial interest in, a market participant (including SDG&E and any other Sempra affiliate, but excluding Independent Evaluator work performed for any other California utility) in the California energy markets? iii) Does the business entity that would be the contracting party for the Independent Evaluator engagement, or any of its affiliates or subsidiaries or directly related companies, have an existing contractual relationship with, or financial interest in, a market participant (including SDG&E and any other Sempra affiliate, but excluding Independent Evaluator work performed for any other California utility) (1) in an aggregate amount of more than One Million Dollars or (2) having more than 20 percent of contract revenue with any entity buying or selling energy in California, excluding work conducted as an approved Independent Evaluator (as such term is used in referenced in Decision 07-12-052)? iv) Are there any other business or personal relationships that you, a family member, your employees or your company has that could possibly influence your judgment or create an appearance of impropriety in executing the duties of the Independent Evaluator?**

<Insert response here.>

**b) For a period of five years prior to the issuance of this RFP, did you have a contractual relationship with, or financial interest in, a market participant (including SDG&E and any other Sempra affiliate, but excluding Independent Evaluator work performed for other California utilities; please identify any contracts with California utilities) in the California energy markets? ii) For a period of five years prior to the issuance of this RFP, did any member of your proposed project team have a contractual relationship with, or financial interest in, a market participant (including SDG&E and any other Sempra affiliate, but excluding Independent Evaluator work performed for other California utilities) in the California energy markets? iii) For a period of five years prior to the issuance of this RFP, did the business entity that would be contracting party for the Independent Evaluator engagement, or any of its affiliates or subsidiaries or directly related companies, have a contractual relationship with, or financial interest in, a market participant (including SDG&E and any other Sempra affiliate, but excluding Independent Evaluator work performed for other California utilities) (1) in an aggregate amount of more than One Million Dollars or (2) having more than 20 percent of contract revenue with any entity buying or selling energy in California, excluding work conducted as an approved Independent Evaluator (as such term is used in referenced in Decision 07-12-052) in the California energy markets?**

|  |
| --- |
| <Insert response here.> |

1. **Do you, any member of your proposed project team, the business entity that would be contracting party for the Independent Evaluator engagement, or any of its affiliates or subsidiaries or directly related companies, own or operate power facilities or otherwise participate in any manner in the California energy market?**

|  |
| --- |
| <Insert response here.> |

1. **Present the fee structure applicable to each member of the project team for the duration of the service agreement. Please identify the primary team member who will be involved in assigned projects. Include all incidental charges such as travel expenses, material costs and/or taxes if applicable. Discuss how you will work to minimize cost to SDG&E ratepayers without sacrificing quality of the services.**

|  |
| --- |
| <Insert response here.> |